



Form PTO-1449 (Modified)				Attorney Docket No. J-3636A		Serial No. 10/653,211	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Applicant: Richard S. Valpey, III, et al.			
				Filing Date September 3, 2003		Group Art No. 3746	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAMINER INT.		DOCUMENT NO.	DATE	NAME	CLASS	SUB.	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB.	TRANSLATION
13	AL	0420538A1	04/03/91	EPO	B65D	83/14	
1	AM	03157328	07/05/91	JP	A61K	9/12	X
1	AN	02294382	12/05/90	JP	C09K	3/30	X
1	AO	61161164	07/21/86	JP	B05B	9/04	X
15	AP	2000316471	11/21/00	JP	A23D	9/00	X
							YES NO
OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
13	AR	Patent Abstracts of Japan, vol. 015, no. 388 (C-0872), 2 October 1991					
1	AS	Patent Abstracts of Japan, vol. 015, no. 068 (C-0807), 18 February 1991					
1	AT	Patent Abstracts of Japan, vol. 010, no. 366 (C-390), 6 December 1986					
15	AU	Patent Abstracts of Japan, vol. 2000, no. 14, 5 March 2001					
EXAMINER:				DATE CONSIDERED: 01/25/05			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Submitted: May 13, 2004				ATTY DOCKET NO. J-3636A (00050.104600)		APPLICATION NO. 10/653,211	
				APPLICANT Richard S. Valpey III, et al.			
				FILING DATE September 3, 2003		GROUP 3746	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
79	6,482,357 B1	11/19/2002	Fox et al.	422	4		
	6,394,321 B1	5/28/2002	Bayer	222	402.24		
	6,375,049 B1	4/23/2002	Geier	222	402.1		
	6,358,893 B1	3/19/2002	Zechman, III et al.	508	215		
	6,357,633 B1	3/19/2002	Zimmerhackel et al.	222	402.1		
	6,352,582 B1	3/5/2002	Brown	106	3		
	6,260,738 B1	7/17/2001	Kerr et al.	222	402.13		
	6,199,766 B1	3/13/2001	Fox et al.	239	3		
	6,173,907 B1	1/16/2001	Benoist	239	337		
	6,112,633	9/5/2000	Trocino	86	50		
	5,988,455	11/23/1999	Pearson et al.	222	402.1		
	5,975,356	11/2/1999	Yquel et al.	222	54		
	5,957,341	9/28/1999	Schiestel	222	394		
	5,939,060	8/17/1999	Trinh et al.	424	76.4		
	Re. 35,843	7/14/1998	Diamond et al.	222	394		
	5,639,441	6/17/1997	Sievers et al.	424	9.3		
	5,626,840	5/6/1997	Thomaides et al.	424	70.11		
	5,603,919	2/18/1997	Liu et al.	424	47		
	5,586,695	12/24/1996	Labus et al.	222	396		
79	5,518,151	5/21/1996	Knickerbocker	222	382		
EXAMINER				DATE CONSIDERED 1/25/05			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

FORM PTO 1449 (modified)

ATTY DOCKET NO.
J-3636A (00050.104600)APPLICATION NO.
10/653,211U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEAPPLICANT
Richard S. Valpey III, et al.LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

FILING DATE

GROUP

Submitted: May 13, 2004

September 3, 2003

3746

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
75	5,467,902	11/21/1995	Yquel	222	402.1	
	5,348,992	9/20/1994	Pearson et al.	523	523	
	5,340,871	8/23/1994	Pearson et al.	523	526	
	5,314,097	5/24/1994	Smrt et al.	222	402.1	
	5,304,368	4/19/1994	Shernov et al.	424	47	
	5,211,317	5/18/1993	Diamond et al.	222	394	
	5,125,546	6/30/1992	Dunne et al.	222	394	
	5,083,683	1/28/1992	Knickerbocker	222	382	
	5,082,652	1/21/1992	Mayfield et al	424	47	
	5,068,099	11/26/1991	Sramek	424	47	
	4,940,171	7/10/1990	Gilroy	222	402.18	
	4,935,224	6/19/1990	Russo et al.	424	47	
	4,680,173	7/14/1987	Burger	424	47	
	4,534,958	8/13/1985	Adams et al.	424	45	
	4,511,069	4/16/1985	Kalat	222	263	
	4,431,120	2/14/1984	Burger	222	192	
	4,322,037	3/30/1982	Heeb et al.	239	337	
	4,230,243	10/28/1980	Spitzer et al.	222	402.18	
	4,203,552	5/20/1980	Hayes	229	337	
75	4,174,386	11/13/1979	Spitzer et al.	424	47	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

Sheet 2 of 4

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Submitted: May 13, 2004				ATTY DOCKET NO. J-3636A (00050.104600)		APPLICATION NO. 10/653,211	
				APPLICANT Richard S. Valpey III, et al.			
				FILING DATE September 3, 2003		GROUP 3746	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
- ^a		4,152,416	5/1/1979	Spitzer et al	424	46	
		4,141,472	2/27/1979	Spitzer et al.	222	189	
		4,124,149	11/7/1978	Spitzer et al.	222	402.19	
		4,117,958	10/3/1978	Spitzer et al.	222	402.18	
		4,116,370	9/26/1978	Spitzer et al.	222	402.18	
		4,056,500	11/1/1977	Stern	260	29.6	
		4,019,657	4/26/1977	Spitzer et al.	222	136	
		3,715,081	2/6/1973	Green	239	573	
		3,648,932	3/14/1972	Ewald et al.	239	337	
		3,647,119	3/7/1972	Samuelson	222	402.18	
		3,635,261	1/18/1972	Morane et al.	141	3	
		3,630,415	12/28/1971	Morane et al.	222	129	
		3,583,642	6/8/1971	Crowell	239	337	
		3,544,258	12/1/1970	Presant et al.	222	192	
		3,137,416	6/16/1964	Shepherd et al.	222	394	
25		3,018,023	1/23/1962	Talarico	222	394	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
3		9-67204	3/11/1997	Japan			Abstract
EXAMINER				DATE CONSIDERED 1/25/05			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Submitted: May 13, 2004				ATTY DOCKET NO. J-3636A (00050.104600)		APPLICATION NO. 10/653,211	
				APPLICANT Richard S. Valpey III, et al.			
				FILING DATE September 3, 2003		GROUP 3746	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
-2		5-42797	2/5/1993	Japan			Abstract
		3-157328	7/5/1991	Japan			Abstract
		3-148212	6/25/1991	Japan			Abstract
		2-294382	12/5/1990	Japan			Abstract
		61-165320	7/26/1986	Japan			Abstract
		59-93002	5/29/1984	Japan			Abstract
		59-93001	5/29/1984	Japan			Abstract
		59-84802	5/16/1984	Japan			Abstract
		59-84801	5/16/1984	Japan			Abstract
-3		56-133065	10/17/1981	Japan			Abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
-2	U.S. Patent Application No. 10/350,011, Kendrick et al., Aerosol Dispenser Assembly and Method Of Reducing the Particle Size of a Dispensed Product, filed January 24, 2003, pages 1-16.						
-3	Non-Final Office Action in U.S. Patent Application No. 10/350,011, dated February 20, 2004, Examiner Ali, Art Unit 3744.						
-6	Joint Declaration of Richard S. Valpey III, Cary E. Manderfield, and Paul A. Clark, April 27, 2004, pages 1-6.						
EXAMINER				DATE CONSIDERED 11/25/05			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).